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er the Paperwork Reduction Act of 1995, no persons are required to a	Serial No.	09/991,201	
TRANSMITTAL	Filing Date	November 20, 2001	
FORM E	First Named Inventor	Terrence A. Tomkow	
(To be used for all correspondence after initial filter)	Group Art Unit		OPY OF PAPE
FEB 2 2 20072	Examiner Name	Not Yet Assigned	
.37			

	100	3	Examiner Name	Not Yet Assigned			
Total Number of Pages in T	his Shapesion	TRADEN	Attorney Docket Number	RPOST-58937			
ENCLOSURES (check all that apply)							
Fee Transmittal Form  Fee Attached (\$130.00)  Amendment  After Final  Affidavits/declar  Extension of Time Req  Express Abandonment  Information Disclosure  Certified Copy of Prior Document(s)  Response to Missing Parts under 37 Control 1.52 or 1.53	ation(s)  uest  Request  Statement  ity  arts/ n	Licensing-rela  Petition  To Convert a	ration)  Three (3) Sheets/Figs. 12-14)  ated Papers  a Provisional Application  braney, Revocation  claimer  ty Statement	Status Letter	To Board rences  I to Group  Iy Brief)  RECEIVED  APR 0 3 2002  PFICE OF PETITIONS  EM(S) IN A ICATION  D		
		SIGNATURE OF A	APPLICANT, ATTORNEY, O				
Firm or Individual Name		R PATTON LEE & TH R. ROSTON, E	UTECHT, LLP ESQ., REG. NO. 16,310				
Signature	Edla	moth R. R	rator				
Date	February 5,	2002					
		CER	RTIFICATE OF MAILING				
I hereby certify that this co	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:						
Typed or printed name	ELLSWO	RTH R. ROSTO	N, ESQ., REG. NO. 16,310				
Signature	Ell	worth R.	Coston				





PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Complete if Known				
Application Number	09/991,201			
Filing Date	November 20, 2001			
First Named Inventor	Terrence A. Tomkow			
Examiner Name	Not Yet Assigned			
Group Art Unit	2131			
Attorney Docket No.	RPOST-58937			

METHOD OF PAYMENT (check all that apply)				F	EE CALCULATION (continued)	]	
X Check Credit card Money Other None	3. AE	TIDC	ION	AL F	EES		
Deposit Account:		Large Entity  Small Entity					
Deposit	Fee Code	Fee (\$)	Fee	Fee le (\$)	Fee Description Fee Paid		
Account Number 06-2425		130	205	65	Surcharge - late filing fee or oath		
Deposit Account Name  FULWIDER PATTON, et al.	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
The Commissioner is authorized to: (check all that apply)	139	130	139	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	147 2		1	2,520	,	3	
X Charge any additional fee(s) during the pendency of this application		920*	ĺ	920*	Requesting publication of SIR prior to	ħ .	
Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.					Examiner action	<b>D</b> .	
FEE CALCULATION	113 1	.840°	113	1,840*	For filing a request for ex parte reexamination  Requesting publication of SIR prior to Examiner action  Requesting publication of SIR after Examiner action  Extension for reply within first month	也	
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	R	
Large Entity   Small Entity	116	400	216	200	Extension for reply within second month	III.	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	920	217	460	Extension for reply within third month	0	
101 740 201 370 Utility filing fee	118 1,	.440	218	720	Extension for reply within fourth month		
106 330 206 165 Design filing fee	128 1,	,960	228	980	Extension for reply within fifth month		
107 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal .		
108 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal	:	
114 160 214 80 Provisional filing fee	121	280	221	140	Request for oral hearing	En.	
SUBTOTAL (1) (\$)		,510	138		i i	EIVE	
		110	240	55	Petition to revive - unavoidable  APR	2 2000	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141 1.		241		Petition to revive - unintentional	3 2002	
Extra Claims below Fee Paid  Total Claims 20** = X = =	142 1. 143 4	- 1	242 243		Utility issue fee (or reissue)	PETITIONS	
Independent 300 -	_	1	243		Design issue fee Plant issue fee	PETITIONS	
Claims		130	122		Petitions to the Commissioner 130	Į.	
	123	50	123	50	Processing fee under 37 CFR 1.17(q)	•	
Large Entity   Small Entity		180		180	Submission of Information Disclosure Stmt		
Fee Fee Fee Fee Fee Description Code (\$)  103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)	ŀ	
102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
110 18 210 9 ** Reissue claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)	1	
and over original patent	169	900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other fo	ee (sp	ecify	)	- ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
**or number previously paid, if greater, For Reissues, see above	*Reduc	ced by	Basi	c Filing	Fee Paid SUBTOTAL (3) (\$) 130.00	_	

SUBMITTED BY				Complete (if	applicable)
Name (Print/Type)	Ellsworth R. Roston	Registration No. (Attorney/Agent)	16,310	Telephone	310-824-5555
Signature	Ellerenth Proton			Date	02-05-02

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PTO/SB/17 (11-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

(\$) 40.00

Complete if Known				
Application Number	09/991,201			
Filing Date	November 20, 2001			
First Named Inventor	Terrence A. Tomkow			
Examiner Name	Not Yet Assigned	_		
Group Art Unit	2131			
Attorney Docket No.	RPOST-58937			

METHOD OF PAYMENT (check all that apply)				F	FEE CALCULATION (continued)		
Check Credit card Money Other None		3. ADDITIONAL FEES					
Deposit Account:	Large	Entity	Sma	all Ent	ity		
Denosit	Fee Code	Fee e (\$)	Fee	Fedde (\$)		Paid	
Account Number	105	130	205	65 65	Surcharge - late filing fee or oath		
Peposit Account Name Fulwider Patton, et al.	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
The Commissioner is authorized to: (check all that apply) ▼	139	130	139	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	147	2,520	147	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application	112	920*	112	920*	Requesting publication of SIR prior to		
Charge fee(s) indicated below, except for the filing fee the above identified deposit account.					Examiner action		
FEE CALCULATION	113	1,840*	113	1,840	Requesting publication of SIR after Examiner action		
BASIC FILING FEE	115	110	215	55	Extension for reply within first month	_\$	
arge Entity   Small Entity	116	400	216	200	Extension for reply within second month	<u> </u>	
ee Fee Fee Fee Description	117	920	217	460	Extension for reply within third month	2	
Code (\$) Code (\$) Fee Paid	118	1,440	218	720	Extension for reply within fourth month	7	
106 330 206 165 Design filing fee	128	1,960	228	980	Extension for reply within fifth month		
07 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal	ام	
08 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal	8	
14 160 214 80 Provisional filing fee	121	280	221	140	Request for oral hearing		
	138	1,510	138	1,510	Petition to institute a public use proceeding	1	
SUBTOTAL (1) (\$)	140	110	240	55	Petition to revive - unavoidable		
EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141 1	1,280	241	640	Petition to revive - unintentional		
Fee from Ext <u>ra Claims below</u> Fee Paid	142 1	1,280	242	640	Utility issue fee (or reissue)		
otal Claims	143	460	243	230	Design issue fee		
laims	144	620	244	310	Plant issue fee		
ultiple Dependent	122	130	122	130	Petitions to the Commissioner		
Falified a service	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
arge Entity   Small Entity ee Fee   Fee Fee Fee Description	126	180	126	180	Submission of Information Disclosure Stmt		
Code (\$)         Code (\$)           03         18         203         9         Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)  40.0	0	
02 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
04 280 204 140 Multiple dependent claim, if not paid 09 84 209 42 ** Reissue independent claims	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
over original patent	170	740	279	270	· · · · · · · · · · · · · · · · · · ·		
10 18 210 9 ** Reissue claims in excess of 20 and over original patent	179	740		370	Request for Continued Examination (RCE)	[	
	169	900	169	900	Request for expedited examination of a design application	I	
SUBTOTAL (2) (\$)	Other	fee (sp	ecify	)			
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basi	c Filinq	9 Fee Paid SUBTOTAL (3) (\$) 40.00		
UBMITTED BY					Complete (if applicable)		

SUBMITTED BY

Name (Printl Type)

Signature

Complete (if applicable)

Registration No. (Attorney/Agent)

Registration No. (Attorney/Agent)

Telephone 310-824-5555

Date 02-05-02

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#### TENT AND TRADEMARK OFFICE United

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/991.201

11/20/2001

Terrence A. Tomkow

RPOST-58937

**CONFIRMATION NO. 3395** 

24201 FULWIDER PATTON LEE & UTECHT, LLP HOWARD HUGHES CENTER

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LOS ANGELES, CA 90045

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OFFICE OF PETITIONS

### NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

• Figure(s) Fig 12,13 and 14 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice <u>MUST</u> be returned with the reply.

BH

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE